



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: :  
: :  
KENICHIRO HASUMI : HUMAN LYMPHOCYTE VACCINE  
: ADJUVANT  
Serial No.: 10/783,259 :  
: :  
Filed: February 20, 2004 : Attorney Docket No.: 358690-00005-1  
: :  
Art Unit: 1648 :

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

October 11, 2007

Mail Stop AMENDMENT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

Enclosed is the captioned Supplemental Information Disclosure Statement which presents references which were identified in the Supplemental European Search Report dated April 11, 2007, issued in the European patent application. Copies of the European Search Report, the references identified therein, and forms PTO/SB/08A and PTO/SB/08B are enclosed.

This Statement is filed solely for the purpose of complying with the pertinent rules of the Office and is not intended to be a substitute for an independent evaluation by the examiner of the art cited or an independent search by the examiner, and no representation of any nature is made or intended by the filing of this Statement.

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In addition to the art cited on forms PTO/SB/08A and PTO/SB/08B, Applicant and/or his attorneys may have been exposed to or considered additional art relating to the general class of the subject matter of the invention. However, if in fact such exposure or consideration has occurred, to the best of their recall or judgment, none of such art is prior art which is more relevant than the art cited.

Please charge any fees due to Deposit Account Number 02-2556.

Respectfully submitted,

A handwritten signature in cursive script that reads "Gwen R. Acker Wood".

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PTO/SB/21 (10-07)  
Approved for use through 10/31/2007. OMB 0651-0031  
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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

|                        |                              |
|------------------------|------------------------------|
| Application Number     | 10/783,259                   |
| Filing Date            | February 20, 2004            |
| First Named Inventor   | Kenichiro Hasumi             |
| Art Unit               | 1648                         |
| Examiner Name          | Louise Wang Zhiying Humphrey |
| Attorney Docket Number | 358690-00005-1               |

### ENCLOSURES (Check all that apply)

- |   |  |  |
|---|--|--|
| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment/Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input checked="" type="checkbox"/> Information Disclosure Statement<br><br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Reply to Missing Parts/<br>Incomplete Application<br><input type="checkbox"/> Reply to Missing Parts<br>under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a<br>Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation<br>Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____<br><input type="checkbox"/> Landscape Table on CD | <input type="checkbox"/> After Allowance Communication to TC<br><input type="checkbox"/> Appeal Communication to Board<br>of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to TC<br>(Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify<br>below):<br>Copy of Supplemental European Search<br>Report<br>PTO Forms 08A and 08B<br>References - 6<br>Credit Card Authorization |
|---|--|--|

Remarks

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

|              |                                      |          |       |
|--------------|--------------------------------------|----------|-------|
| Firm Name    | Eckert Seamans Cherin & Mellott, LLC |          |       |
| Signature    |                                      |          |       |
| Printed name | Gwendolyn R. Acker Wood, Ph.D., J.D. |          |       |
| Date         | October 11, 2007                     | Reg. No. | 51027 |

### CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

|                       |                                      |      |                  |
|-----------------------|--------------------------------------|------|------------------|
| Signature             |                                      |      |                  |
| Typed or printed name | Gwendolyn R. Acker Wood, Ph.D., J.D. | Date | October 11, 2007 |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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